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Thomas Rupp
Thomas Leti
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Guillaume Y. Millet
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Thomas Rupp,^{a,b} Thomas Leti,^{a,b} Marc Jubeau,^{a,b,c} Guillaume Y. Millet,^{a,b,c} Veronique A. Bricout,^{a,b} Patrick Levy,^{a,b} Bernard Wuyam,^{a,b} Stephane Perrey,^{d*} and Samuel Verges^{a,b*}

^aJoseph Fourier University & CHU Grenoble, HP2 Laboratory, F-38043, Grenoble, France

^bNational Institute for Health and Medical Research, U1042, F-38043, Grenoble, France

^cUniversité de Lyon, F-42023, Saint-Etienne, France

^dMontpellier-1 University, Movement To Health (M2H) Laboratory, Euromov, F-34090, Montpellier, France

Abstract. This study aimed to investigate the effects of sustained hypoxic exposure on cerebral and muscle oxygenation and cardiorespiratory function at rest. Eleven healthy subjects inhaled a normobaric hypoxic ($\text{FiO}_2 = 0.12$) or normoxic ($\text{FiO}_2 = 0.21$) gas mixture for 4 h at rest, on two separated blinded sessions. Arterial oxygen saturation (SpO_2), heart rate variability (HRV), end-tidal CO_2 (EtCO_2), and oxygenation of quadriceps muscle, prefrontal and motor cortices assessed by near-infrared spectroscopy (NIRS) were measured continuously during each session. Acute mountain sickness symptoms were evaluated at the end of each session. During a hypoxic session, SpO_2 reduction ($\sim 13\%$) plateaued after 20 min, while deoxygenation pattern took 30 to 40 min at the cerebral sites to plateau ($+5.3 \pm 1.6 \mu\text{Mol}$ of deoxygenated-hemoglobin). Deoxygenation was more pronounced in the cerebral cortex compared to the muscle ($+2.1 \pm 2.3 \mu\text{Mol}$ of deoxygenated-hemoglobin), and NIRS-derived tissue perfusion index showed distinct profiles between the muscle (hypoperfusion) and the brain (hyperperfusion) with prolonged hypoxia. Changes in tissue oxygenation were not associated with cardiorespiratory responses (e.g., HRV, EtCO_2) and altitude sickness symptom appearance during hypoxic sessions. These data demonstrate that sustained hypoxia elicits time delay in changes between arterial and tissue (especially cerebral) oxygenation, as well as a tissue-specific sensitivity. © 2013 Society of Photo-Optical Instrumentation Engineers (SPIE) [DOI: [10.1117/1.JBO.18.9.095002](https://doi.org/10.1117/1.JBO.18.9.095002)]

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1 Introduction

Understanding how human tissues face hypoxemic stress over the first hours of hypoxic exposure (HE) is of prime importance for people traveling or working at high altitude as well as for clinical purposes including the management of hypoxemic patients and novel hypoxic preconditioning strategies.^{1,2} HE-induced change in cerebral tissue oxygenation within this time frame is critical since it coincides with the report of frequent clinical events during mild hypoxic commercial flights (e.g., dizziness, fainting), with the deterioration of cognitive and motor performances in workers or sportsmen at altitude,^{3,4} as well as with the earliest symptoms of acute mountain sickness (AMS).^{5,6} All these manifestations are supposed to be linked to perturbations of the cerebral hemodynamics and tissue homeostasis.⁴

Previous investigations mainly focused on cardiorespiratory and cerebrovascular adaptations to HE characterized by the level of arterial oxygen saturation (SpO_2), and only few studies monitored hourly changes^{7,8} in cerebral blood flow (CBF) assessed by transcranial Doppler during HE at rest. Although they suggested that HE may increase the risk of impaired oxygen supply to the brain, Nishimura et al.⁷ failed to observe significant

differences between hypoxic and control normoxic conditions in middle cerebral artery blood velocity (MCAv) measured during 5 h at rest. One reason why Nishimura and coauthors may not have seen differences in MCAv could relate to the use of a mild level of hypoxia ($\text{FiO}_2 = 0.15$, $\text{SpO}_2 = 92 \pm 1\%$, $\text{PaO}_2 \sim 65 \text{ mmHg}$). The cerebrovasculature is exquisitely sensitive to partial pressure of carbon dioxide in arterial blood (i.e., PaCO_2 , hypocapnic vasoconstrictive effect) and to a lesser degree to the partial pressure of oxygen in arterial blood (i.e., PaO_2 , hypoxic vasodilatory effect).⁹ Depending on the prevailing PaCO_2 (Ref. 10), a drop in PaO_2 below a certain threshold (40 to 50 mmHg) is required for significant cerebral vasodilation.¹¹ For instance, Ainslie and Poulin¹⁰ demonstrated that isocapnic and hypercapnic hypoxia ($\text{PetO}_2 = 45 \text{ mmHg}$, $\text{SaO}_2 \sim 80\%$) caused a 24 and 34% increase in CBF compared with changes from normoxic baseline, respectively. In poikilocapnia, a high acute ventilatory response blocked much of the hypoxic response so that the vasodilatory effects of hypoxia were balanced by the vasoconstrictive effects of hypocapnia, resulting in little change in the CBF.

Transcranial Doppler (TCD) has been used extensively to assess relative changes in CBF velocity at high altitudes.^{12,13} TCD can provide quantitative information on cerebral hemodynamic changes at the macrovascular level (i.e., cerebral arteries) but is unable to assess directly the qualitative repercussions of such changes for the tissue at the microvascular level.

*Both authors contributed equally to this work.

Address all correspondence to: Rupp Thomas, Laboratoire HP2 (U1042 INSERM), UF Recherche sur l'Exercice, Hôpital Sud, Avenue Kimberley, 38434 Echirolles-France. Tel: +33 4 76 76 68 60; Fax: +33 4 76 76 89 21; E-mail: thomas.rupp@univ-savoie.fr

How much reductions in SpO₂ translate into changes in cerebral but also muscle tissue oxygenation when continuous HE is prolonged over hours remains largely unknown. The impact of hypoxemia on tissue oxygen saturation involves complex mechanisms,¹⁴ and both the amplitude and kinetics of tissue deoxygenation when SpO₂ is reduced remain to be clarified.^{15,16} Animal models have demonstrated, for instance, that changes in oxygen partial pressure of brain tissue may exhibit a significant decoupling pattern compared to experimentally induced swings in SpO₂ (Ref. 17). Tissue-specific sensitivity to short-term hypoxia has been recently emphasized in humans,^{3,18} but the effect of continuous HE over several hours at rest has not been investigated when regarding skeletal muscle and multiple brain regions.

Near-infrared spectroscopy (NIRS) is an optical method that noninvasively monitors regional changes in oxy-, deoxy-, and total hemoglobin by measuring changes in attenuation of NIR light passing through tissue.¹⁹ Particularly in the last decade, NIRS has been widely used and recognized as a powerful tool to measure the (mis)balance between oxygen supply and utilization directly in tissue microvessels (venules, arterioles, and capillaries), with a predominant venous contribution (70 to 80%).^{20,21} Hence, NIRS appears suitable for studying muscle and cerebral hemodynamics and oxygenation responses to challenging environmental conditions as altitude,^{3,4,18} but has never been used continuously over the early hours of an HE.

The aim of the present study was to investigate the kinetics and amplitude of cerebral and skeletal muscle oxygenation responses together with changes in systemic and cardiovascular outcomes over the early 4 h of HE in humans at rest. We hypothesized that tissue oxygenation (especially cerebral) would take longer time to reach a steady-state level compared to systemic (arterial oxygenation) responses. We also hypothesized that brain would show greater deoxygenation responses in the time-course of an exposure to hypoxia sustained for 4 h in resting conditions as compared to skeletal muscle.

2 Materials and Methods

2.1 Subjects

Eleven healthy male subjects participated in this study. All subjects were nonsmokers and had no history of head injury or cardiorespiratory or neuromuscular diseases. Their average (\pm SD) age, weight, and BMI were 29 ± 6 years, 71 ± 6 kg, and 22 ± 2 kgm⁻², respectively. The study was approved by the local ethics committee and performed according to the Declaration of Helsinki. All subjects gave their written informed consent to participate in the study and were instructed to drink no alcoholic or caffeinated beverages, and to avoid any physical activity for at least 12 h before each testing day.

2.2 Experimental Design

The study protocol included two sessions (hypoxic and normoxic sessions) performed at the same time of the day ± 1 h and at least 1 week apart. Subjects seated quietly in a comfortable chair with legs down on the floor, while breathing a gas mixture delivered via a face-mask connected to a mixing gas chamber (Altitrainer 200®, SMTEC, Nyon, Switzerland). After >15 min stabilization of the cardiorespiratory variables, baseline data were collected in normoxic condition [inspiratory O₂ fraction (FiO₂) of the gas mixture: 0.21] during a 3-min

period. Subjects were then exposed rapidly (within 2 min) to 4 h of normobaric hypoxia (FiO₂ = 0.12, hypoxic session) or normoxia (FiO₂ = 0.21, normoxic session) and then again to normoxia for 15 min. This time frame may be critical regarding the genesis of hypoxia-induced cerebral perturbations (e.g., AMS symptoms), and the reduced FiO₂ used in the present study (equivalent to an altitude of ~4000 m) is known to induce values of SpO₂ as frequently observed in clinical practice (~85 to 90%). Subjects were blinded to the treatment (hypoxic or normoxic session and changes in FiO₂ during each session). Subjects were asked to breathe normally and to refrain from sleeping, talking, or moving to avoid any interference with the normal course of physiological adaptations to the gas inhalation. Temperature and humidity inside the experimental room were maintained at $23 \pm 1^\circ\text{C}$ and $43 \pm 9\%$, respectively.

2.3 Measurement of Systemic Oxygen Saturation/End-Tidal CO₂

Systemic SpO₂ was measured continuously using a pulse oximeter (Pulsox 300, Konica Minolta, Osaka, Japan) placed on the subject's right index finger, and end-tidal CO₂ partial pressure (EtCO₂) was measured using a respiratory gas monitor (Ohmeda RGM, GE Healthcare, Little Chalfont, UK) connected to the face-mask.

2.4 Measurement of Tissue Oxygenation

2.4.1 Device and principle

A multichannel NIRS device (OxyMon Mk III, Artinis, The Netherlands) emitting continuous wavelengths of 760- and 850-nm light was used to estimate relative concentration changes (from an initial normoxic baseline of zero) in cerebral and muscle oxygenated-hemoglobin (Δ O₂Hb), deoxygenated-Hb (Δ HHb), and total-Hb (tHb = O₂Hb + HHb). Theoretical and performance details of NIRS have been previously described.^{19,22} NIR-determined hemodynamics reflect the dynamic balance between O₂ demand and O₂ supply in the tissue microcirculation. NIRS has been validated in comparison with ¹³³Xe washout methods²³ and positron emission topography scanning²⁴ and correlates with jugular venous bulb oxygen saturation in healthy volunteers under conditions of hypoxia.²⁵ Because [HHb] is closely associated with changes in venous O₂ content and is less sensitive to [tHb] than [O₂Hb], [HHb] provides a highly sensitive measure of changes in muscle deoxygenation status due to O₂ extraction, while [O₂Hb] seems to be the most sensitive indicator of regional CBF modifications.²⁶ [tHb] reflects the changes in tissue blood volume within the illuminated area^{26,27} so that it is used as an index of tissue perfusion.²⁸ In the present study, subjects were instrumented to monitor μMol changes in tissue oxygenation across one muscular and two cerebral sets of probes.

2.4.2 Muscle

Oxygenation profile was determined over one of the main locomotor muscles to assess potential hypoxia-induced peripheral impairments. One transmitter (Tx)–one receiver (Rx) optode pairs were attached to the skin on the lower third of the belly of the right *vastus lateralis* (range of 15 to 20 cm above the proximal border of the patella) and in parallel with the long axis of the muscle. Published differential path length of 4.95 cm was used to estimate muscle oxygenation,²⁹ and skinfold

measurements were made in the sagittal plane midway between optodes to ensure skin and adipose thickness were below the recommendations to allow the NIR light to properly penetrate through the muscle.³⁰ The distance between the transmitting and receiving optodes was fixed at 4 cm by a plastic probe holder secured to the skin using double-sided tape and covered with a black sweatband maintained with an elastic muff net to shield the optodes from ambient light.

2.4.3 Cortex

A second set of probes (1 Tx–1 Rx) was placed over the left prefrontal cortex (PFC) to illuminate cortical area between standard Fp1 and F3 locations according to the international EEG 10–20 system.³¹ PFC synthesizes information from a wide range of brain systems and exerts control over cognitive and executive behavior (e.g., decision-making, movement planning, pacing strategies), so that these associative areas play a central role in the orchestration of thoughts and actions in accordance with internal goals.^{32,33} The interoptode distance was fixed at 3.5 cm and the probe holder was secured to the skin with double-sided tape and maintained with Velcro headbands. The third set of probes positioned four NIR channels (2 Rx–2 Tx) to span the left premotor and motor cortices (MC) with a specially designed square headset held in place with elastic bandages. Two 3-cm spaced Rx–Tx sets were positioned in the sagittal plane and two 3-cm spaced Rx–Tx sets were positioned in the coronal plane, between standard Cz and C3 locations according to the international EEG 10–20 system.³¹ These areas have been chosen to reflect absence of brain activation as subjects were asked to remain steady during the protocol. Off-center placement was necessary to illuminate cortical tissue without interference from the sagittal sinus. Subject's hairs under the optodes were shaved to ensure proper placement (i.e., maximal adherence to the scalp) and maximize signal strength (i.e., strong pulsatile O₂Hb signal).

2.4.4 Processing

Care was taken to ensure that the attached probes did not constrict the head and did not block scalp blood circulation. Age-related differential path lengths for the brain tissue were used for each subject and each cerebral Rx–Tx set according to previously published tabulated data.³⁴ The four MC NIR pairs were pooled. The positions of the NIR probes were marked on the leg skin with a semipermanent ink marker and precisely redetermined each time over the head to obtain consistent measures over the two testing sessions. Data were recorded continuously at 25 Hz and filtered with a 2-s width moving Gaussian smoothing algorithm before analysis.

2.5 Measurement of Heart Rate Variability and Mean Arterial Pressure

Full mean arterial blood pressure (MABP) and heart rate variability (HRV) evaluations (i.e., all stages for both sessions) were measured in 7 and 8 out of the 11 subjects, respectively.

2.5.1 Signal acquisition

Heart rate was monitored using the Nexfin HD computer (BMEYE B.V, Amsterdam, Netherlands) by surface four-lead electrocardiography. Continuous estimates of MABP were collected noninvasively with the Nexfin HD monitor, based on the

pulsatile unloading of the finger arterial walls using an inflatable finger cuff with a built-in photoelectric plethysmograph. Signals derived from the cuff were converted at a sampling rate of 200 Hz, analyzed, and presented in real time on the Nexfin HD stand-alone device.

2.5.2 Heart rate variability analysis

HR and HRV were evaluated over continuous 10-min periods. Cardiac inter-beat (RR) intervals were analyzed (e.g., artifact correction) in accordance with international guidelines.³⁵ The square root of the mean of squared differences between consecutive RR intervals (RMSSD) and the percentage of intervals that vary by >50 ms from the previous interval (pNN50) were calculated in the time domain. For HRV analysis in the spectral domain, discrete Fourier transform algorithms were applied to RR interval time series and power spectra were quantified with the Kubios software (Biosignal Analysis and Medical Imaging Group, University of Kuopio, Finland). The high-frequency (HF) component of RR (HF_{RR} 0.15 to 0.40 Hz) was used as an index of efferent vagal modulation to the heart (i.e., parasympathetic activity), and the low-frequency (LF) component of RR (LF_{RR} 0.04 to 0.15 Hz) was used to estimate an amalgam of both sympathetic and parasympathetic activity. The low- to high-frequency power ratio (LF/HF_{RR}) was calculated as an estimate of cardiac sympathovagal balance.³⁶ HF_{RR} and LF_{RR} are reported here as normalized for total power (0 to 0.40 Hz) minus the very-low-frequency power (0 to 0.04 Hz).³⁵

2.6 Dynamics of Tissue Oxygenation and Cardiorespiratory Responses

SpO₂, EtCO₂, HR, MABP, and NIRS parameters were averaged over 3-min periods at the end of the initial normoxic period (BL), at +10, 20, 30, 40 and 50 min to study HE-induced adaptations in the first hour, and at BL, +20, 80, 140, 200, and 240 min and 15 min after return to normoxia (N + 15) to study HE-induced adaptation over the 4-h exposition period. Steady-state MABP and HRV parameters were collected over 10-min periods at BL, +20, 80, 140, 200, and 240 min in the 4-h exposition period and at N + 15. The same averaging was carried out in normoxic session to evaluate the possible effects of time independent of HE.

2.7 Self-Reported AMS Questionnaires

To evaluate AMS symptoms, subjects completed self-reported questionnaires before each testing session and in the last 30 min of the 4-h gas exposition period. These evaluations comprised four sections of the Lake Louise AMS questionnaire (LLS, i.e., “headache,” “gastrointestinal distress,” “fatigue/weakness,” “dizzy/light-headedness”),³⁷ the neurologic part of the Environmental Symptom Questionnaire (ESQ-III AMS-C, 11 items graded from 0 to 5 covering “lightheadedness,” “faintness,” “weakness,” “nausea,” “I feel hung-over,” and so on),³⁸ and a visual analog scale for headache (VAS, score from 0 to 100 mm). AMS is defined as an LLS score >3 or an AMS-C score ≥0.7.

2.8 Statistical Analysis

Normality of distribution and homogeneity of variances of the main variables were confirmed using a Skewness-Kurtosis normality test and the Levene's test, respectively. For each analysis

(over the first hour and over the entire gas exposition), two-way (session \times time) analysis of variance (ANOVA) with repeated measures were performed for each dependent variable. *Post hoc* Fisher's LSD-tests were applied to determine a difference between two mean values if the ANOVA revealed a significant main effect or interaction effect. Relationships among changes in tissue oxygenation parameters, cardiorespiratory function parameters, SpO₂, and symptomatic data were determined by Pearson product correlations. For all statistical analyses, an alpha level of 0.05 was used as the cut-off for significance. Data are presented as mean \pm SD in text and tables and as mean \pm SE in figures.

3 Results

3.1 Adaptations Over the First Hour of HE

3.1.1 Arterial oxygenation profile

Over the first hour, SpO₂ was significantly reduced during the hypoxic session compared to BL with a plateau from +20 min, while no significant changes occurred in the normoxic session (interaction effect, $F_{(5,50)} = 81.2$, $P < 0.001$) [Fig. 1(a)].

3.1.2 Tissue oxygenation profiles

At the muscle site, the hypoxic session resulted in lower $\Delta[\text{O}_2\text{Hb}]$ (interaction effect, $F_{(5,50)} = 5.3$, $P < 0.001$) and higher $\Delta[\text{HHb}]$ (interaction effect, $F_{(5,50)} = 4.6$, $P = 0.001$) from +10 min compared with BL, whereas $\Delta[\text{tHb}]$ did not change over the first hour of gas exposition in both hypoxic and normoxic sessions [Figs. 2(a) to 2(c)]. The hypoxic session resulted in reduced PFC $\Delta[\text{O}_2\text{Hb}]$ (interaction effect, $F_{(5,50)} = 15.5$, $P < 0.001$) and increased $\Delta[\text{HHb}]$ (interaction effect, $F_{(5,50)} = 46.5$, $P < 0.001$) from +10 min compared with BL, while $\Delta[\text{tHb}]$ increased from +20 min in both hypoxic and

normoxic sessions (main effect of time, $F_{(5,50)} = 5.0$, $P < 0.001$) [Figs. 2(d) to 2(f)]. The hypoxic session also resulted in lower MC $\Delta[\text{O}_2\text{Hb}]$ (interaction effect, $F_{(5,50)} = 21.4$, $P < 0.001$) and higher $\Delta[\text{HHb}]$ (interaction effect, $F_{(5,50)} = 31.4$, $P < 0.001$) from +10 min compared with BL, whereas $\Delta[\text{tHb}]$ slightly decreased at +50 min in both sessions (main effect of time, $F_{(5,50)} = 3.8$, $P < 0.01$) [Figs. 2(g) to 2(i)]. As shown in Fig. 2 [especially, Figs. 2(e) and 2(g)], 30 to 40 min was required to stabilize the time course of oxygenation changes for PFC and MC at their highest magnitude.

In the hypoxic session, changes in muscle [HHb], [O₂Hb], and [tHb] did not correlate with SpO₂, whereas PFC and MC $\Delta[\text{HHb}]$ exhibited high inverse correlation levels with SpO₂ (at +50 min: $R^2 = -0.40$, $P < 0.05$ and $R^2 = -0.62$, $P < 0.01$, respectively). Also, muscle [Hb] changes did not correlate with cerebral [Hb] changes over the first hour of HE. $\Delta[\text{HHb}]$ and $\Delta[\text{O}_2\text{Hb}]$ were tightly correlated between PFC and MC ($R^2 = 0.55$, $P < 0.01$ and $R^2 = 0.56$, $P < 0.01$, respectively), but there was no significant correlation between cerebral sites for $\Delta[\text{tHb}]$ ($P > 0.05$).

3.1.3 Cardiorespiratory function responses

Over the first hour, EtCO₂ decreased slightly from +10 min compared to BL both in the normoxic and hypoxic sessions (-2 ± 2 mmHg at +50 min, main effect of time, $F_{(5,50)} = 10.2$, $P < 0.001$) [Fig. 1(b)] but correlated neither to SpO₂ nor to tissue oxygenation changes ($P > 0.05$). HR remained unchanged through the first hour in the normoxic session, whereas it increased significantly during the hypoxic session ($+8 \pm 8$ bpm at +10 min, main effect of session, $F_{(1,10)} = 5.4$, $P < 0.05$). MABP was not notably affected by gas exposition over the first hour, whatever the session.

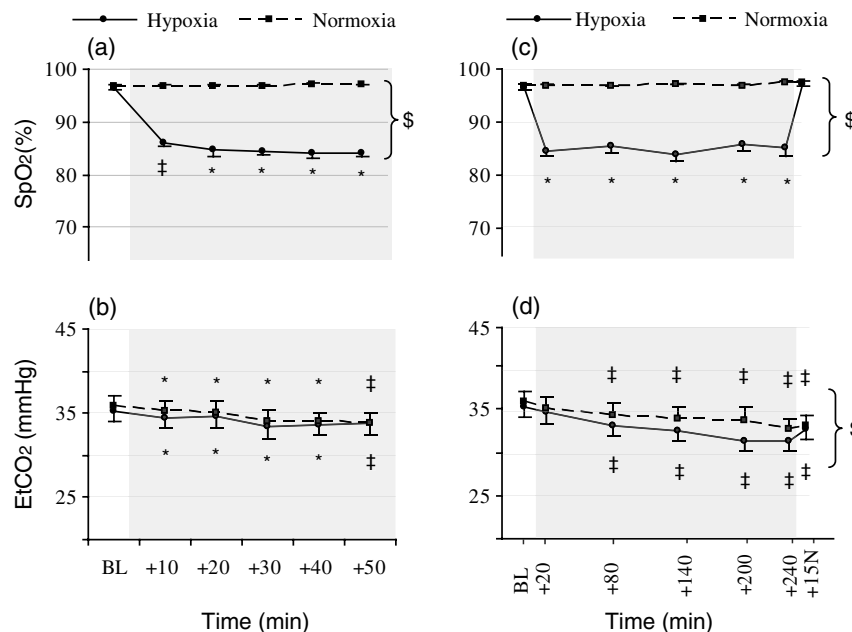


Fig. 1 Mean changes (\pm SE) in arterial oxygen saturation (SpO₂) and end-tidal CO₂ partial pressure (EtCO₂) during exposure to 12% O₂ hypoxia (solid lines). Dashed lines identify values for the control normoxic session. Data are shown over the first hour [panels (a) and (b)] and over 4 h of hypoxic exposure [panels (c) and (d)]. BL, baseline; +15N, 15 min after normoxic washout. * $P < 0.05$ versus BL; # $P < 0.05$ versus BL and +20 min; \$ $P < 0.05$ versus normoxic session.

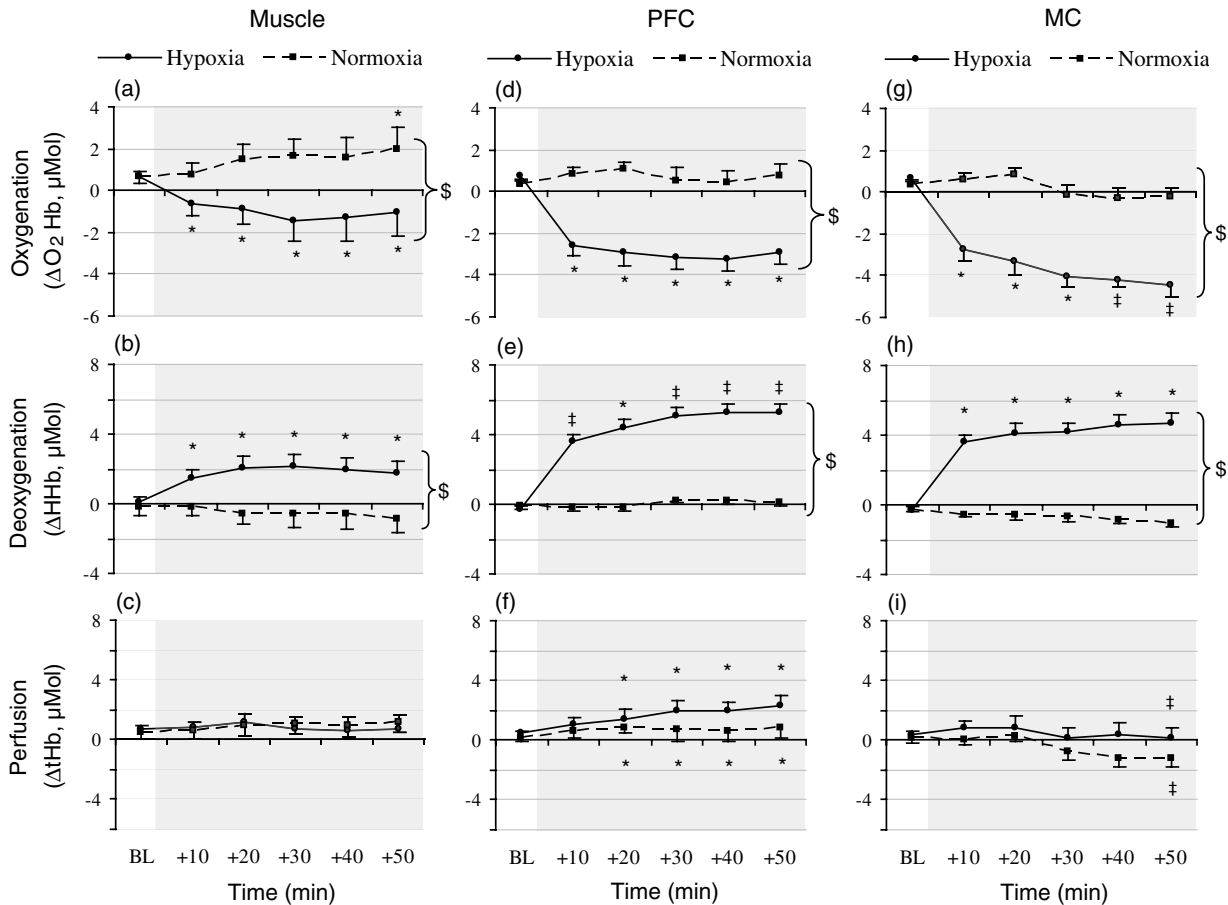


Fig. 2 Mean changes (\pm SE) in oxy-([O₂Hb]), deoxy-([HHb]), and total-hemoglobin ([tHb]), during the first hour of exposure to 12% O₂ hypoxia (solid lines). Dashed lines identify values for the control normoxic session. Data are shown for the *vastus lateralis* muscle [panels (a) to (c)], for the prefrontal cortex [PFC, panels (d) to (f)], and for the motor cortex [MC, panels (g) to (i)]. BL, baseline. * $P < 0.05$ versus BL; ‡ $P < 0.05$ versus BL and +20 min; \$ $P < 0.05$ versus normoxic session.

3.2 Adaptations Over 4-h HE

3.2.1 Arterial oxygenation profile

During the hypoxic session, SpO₂ plateaued at $\sim 85\%$ from +20 min, while no changes were observed in the normoxic session [Fig. 1(c), interaction effect, $F_{(5,50)} = 81.2$, $P < 0.001$].

3.2.2 Tissue oxygenation profiles

At the muscle site, the hypoxic session resulted in decreased $\Delta[\text{O}_2\text{Hb}]$ from +140 min compared to BL [Fig. 3(a), interaction effect, $F_{(6,60)} = 2.6$, $P < 0.05$]. Increased $\Delta[\text{HHb}]$ compared to BL was observed until +140 min during the hypoxic session, while a significant decrease in $\Delta[\text{HHb}]$ occurred in the normoxic session from +80 min [Fig. 3(b), interaction effect, $F_{(6,60)} = 4.9$, $P < 0.001$]. Significant reduction in muscle $\Delta[\text{THb}]$ was observed at +200 min in both sessions [Fig. 3(c), main effect of time, $F_{(6,60)} = 6.0$, $P < 0.001$]. During the hypoxic session, PFC (interaction effect, $F_{(6,60)} = 9.7$, $P < 0.001$) and MC (interaction effect, $F_{(6,60)} = 9.3$, $P < 0.001$) $\Delta[\text{O}_2\text{Hb}]$ was lower compared to BL, while it increased significantly in the normoxic session from +80 min in PFC and +240 min in MC [Figs. 3(d) and 3(g)]. The hypoxic session induced a marked increase in $\Delta[\text{HHb}]$ compared to BL in both PFC (interaction effect, $F_{(6,60)} = 30.3$, $P < 0.001$) and MC (interaction effect, $F_{(6,60)} = 27.4$, $P < 0.001$) [Figs. 3(e)

and 3(h)]. Both hypoxic and normoxic sessions resulted in significant cerebral $\Delta[\text{THb}]$ increases [Figs. 3(f) and 3(i)] from +20 min in PFC (main effect of time, $F_{(6,60)} = 23.3$, $P < 0.001$) but only from +240 min in MC (main effect of time, $F_{(6,60)} = 5.5$, $P < 0.001$).

Cerebral hemodynamics assessed by NIRS during the hypoxic session were strongly correlated between PFC and MC (at +240 min: $R^2 = 0.56$, $P < 0.001$; $R^2 = 0.39$, $P < 0.05$; $R^2 = 0.50$, $P < 0.05$, for $\Delta[\text{HHb}]$; $\Delta[\text{O}_2\text{Hb}]$; $\Delta[\text{THb}]$, respectively), with no significant correlations with muscle hemodynamics. At +240 min of HE, PFC $\Delta[\text{HHb}]$ but not MC $\Delta[\text{HHb}]$ was negatively correlated with SpO₂ ($R^2 = -0.54$, $P = 0.01$). As observed during the first hour, muscle hemodynamics did not correlate with SpO₂ over the 4 h of HE.

3.2.3 Cardiorespiratory function and HRV responses

Figure 1(d) shows that EtCO₂ was slightly reduced during the hypoxic compared to the normoxic session (main effect of session, $F_{(1,10)} = 5.7$, $P < 0.05$), even if a progressive hypocapnia was also observed throughout the normoxic session (main effect of time, $F_{(6,60)} = 11.9$, $P < 0.001$). Increase in MABP appeared significant from +200 min in both sessions (main effect of time, $F_{(6,36)} = 3.1$, $P = 0.01$), this increase being predominant in the normoxic compared to the hypoxic session (Table 1). HR was significantly increased during the hypoxic session

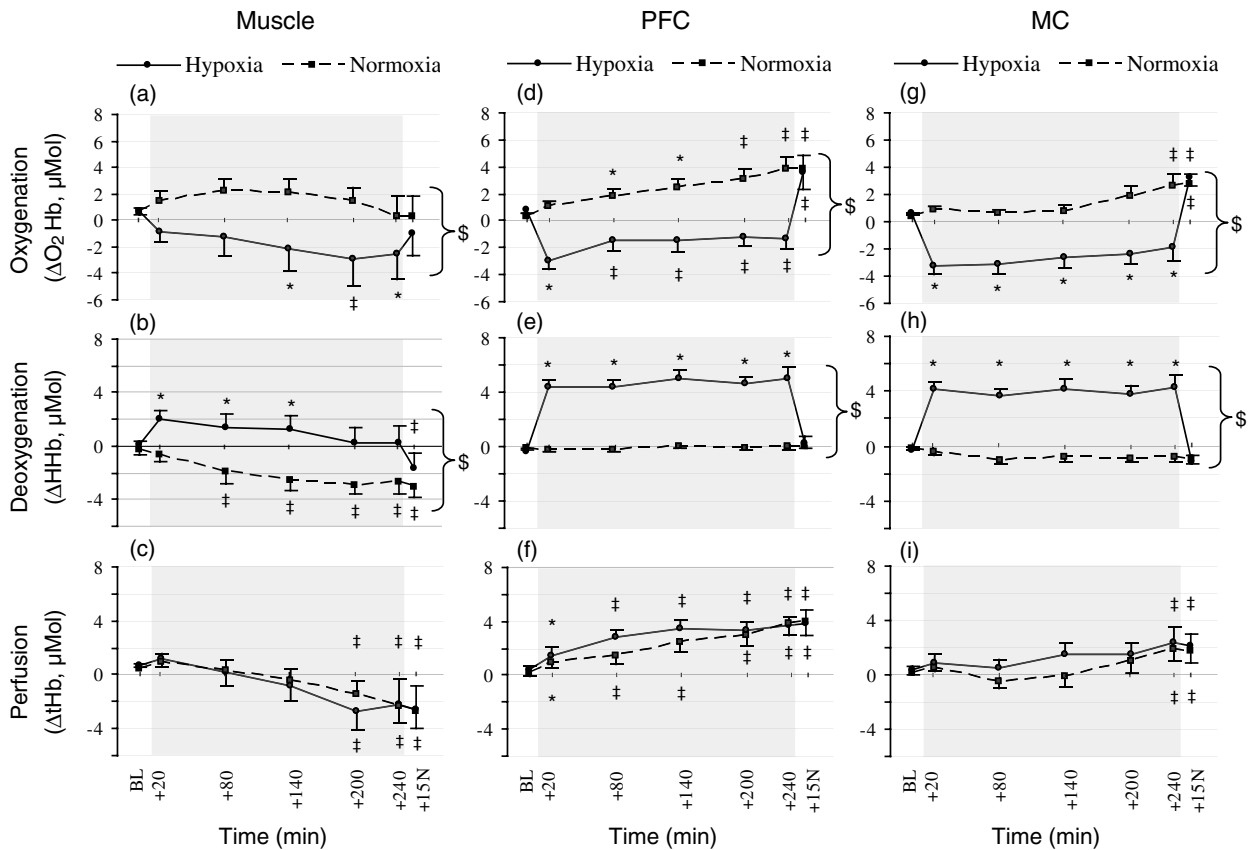


Fig. 3 Mean changes (\pm SE) in oxy-([O₂Hb]), deoxy-([HHb]), and total-hemoglobin ([tHb]), over a 4-h exposure to 12% O₂ hypoxia (plenty lines). Dashed lines identify values for the control normoxic session. Data are shown for the *vastus lateralis* muscle [panels (a) to (c)], for the PFC [panels (d) to (f)], and for the MC [panels (g) to (i)]. BL, baseline; +15N, 15 min after normoxic washout. * $P < 0.05$ versus BL; # $P < 0.05$ versus BL and +20 min; \$ $P < 0.05$ versus normoxic session.

(interaction effect, $F_{(6,60)} = 4.8$, $P < 0.001$) compared to the normoxic session. EtCO₂, MABP, and HR changes at the end of the protocol did not correlate to either SpO₂ or cerebral [Hb] changes ($P > 0.05$), whatever the session.

Changes in time and frequency domain indices for HRV are shown in Table 1. HF_{RR} decrease and LF_{RR} increase over time were significantly more pronounced during the hypoxic session compared to the normoxic session (main effect of session, $F_{(1,7)} = 5.8$, $P < 0.05$). However, the increase in LF/HF_{RR} ratio did not differ significantly between sessions. RR intervals and pNN50 were significantly lower throughout the hypoxic session compared to the normoxic session (interaction effects, RR, $F_{(6,42)} = 9.8$, $P < 0.001$; pNN50, $F_{(6,42)} = 2.6$, $P < 0.05$), while RMSSD changes did not reach statistical significance, whatever the session.

3.2.4 Self-reported questionnaires

LLS increased from 0.3 ± 0.5 to 2.3 ± 1.9 during the hypoxic session (6 out of 11 subjects had scores ≥ 3 consistent with AMS), whereas no significant change was observed during the normoxic protocol (interaction effect, $F_{(1,10)} = 5.7$, $P < 0.05$). AMS-C scores increased from 0.0 ± 0.1 to 0.5 ± 0.6 during the hypoxic session (3 out of 11 subjects had scores ≥ 0.7 consistent with AMS), whereas no significant change was observed during the normoxic session (interaction effect, $F_{(1,10)} = 5.0$, $P < 0.05$). Headache VAS scores increased from 0 ± 1 to 22 ± 19 during the hypoxic session (3 out of

11 subjects had scores >40), whereas no significant change was observed during the normoxic session (interaction effect, $F_{(1,10)} = 6.5$, $P < 0.05$). No significant correlations were found between symptoms and changes in SpO₂, tissue hemodynamics, or any HRV indices at the end of the hypoxic session ($P > 0.05$).

4 Discussion

This is the first study to provide continuous measurements of skeletal muscle and cerebral oxygenation profiles over a 4-h sustained HE in humans. The two major findings were (1) tissue desaturation was significantly delayed compared to the arterial desaturation as deoxygenation pattern induced in the early phase of HE takes 30 to 40 min at the cerebral sites to plateau and (2) a tissue-specific sensitivity to hypoxia was observed, with the magnitude of deoxygenation being more pronounced in the PFC and MC compared to the muscle throughout the 4 h of HE. Finally, the magnitude and kinetics of changes in tissue oxygenation were not associated with those of the cardiorespiratory changes and altitude sickness symptoms appearance observed during HE.

4.1 Decoupling between SpO₂ and Muscle/Cerebral Oxygenation Over a 4-h Sustained HE

Concomitant cerebral oxygenation and SpO₂ reduction have been observed in unacclimatized trekkers at moderate altitudes or during progressive ascent to high altitude.^{39,40} While the

Table 1 Heart rate (HR), mean arterial blood pressure (MABP), and heart rate variability indices over 4 h of gas exposition.

	Normoxic session										Hypoxic session									
	BL	+20 min	+80 min	+140 min	+200 min	+240 min	+15N min	BL	+20 min	+80 min	+140 min	+200 min	+240 min	+15N min						
HR, bpm	63 ± 15	63 ± 16	57 ± 27 ^a	61 ± 20	61 ± 20	63 ± 19	62 ± 20	61 ± 15	67 ± 14 ^{ab}	66 ± 16 ^b	66 ± 18	68 ± 22 ^{ab}	68 ± 21 ^a	59 ± 20						
MABP, mmHg	88 ± 8	91 ± 8	93 ± 8	94 ± 7	98 ± 9 ^a	97 ± 8 ^a	95 ± 9 ^a	87 ± 10	86 ± 9	87 ± 10	88 ± 10	90 ± 9 ^a	88 ± 7 ^a	91 ± 8 ^a						
RR, ms	1018 ± 293	1024 ± 291	1051 ± 363	1092 ± 380 ^a	1097 ± 392 ^a	1060 ± 366	1075 ± 376 ^a	1049 ± 302	948 ± 263 ^{ab}	976 ± 294 ^{ab}	993 ± 314 ^{ab}	983 ± 337 ^{ab}	967 ± 333 ^{ab}	1138 ± 399 ^{ab}						
RMSSD, ms	50 ± 41	55 ± 43	62 ± 49	66 ± 49	65 ± 49	59 ± 40	62 ± 47	50 ± 37	49 ± 46	46 ± 24	51 ± 32	53 ± 29	43 ± 22	55 ± 23						
pNN50, %	24 ± 23	29 ± 25	32 ± 27 ^a	35 ± 28 ^a	35 ± 30 ^a	32 ± 27 ^a	33 ± 27 ^a	25 ± 20	20 ± 22 ^b	21 ± 14 ^b	24 ± 17 ^b	28 ± 21 ^b	22 ± 15 ^b	34 ± 21 ^a						
LF _{RR} , nu	55 ± 16	57 ± 15	66 ± 16 ^a	63 ± 14 ^a	62 ± 17 ^a	64 ± 17 ^a	62 ± 18	57 ± 13	69 ± 14 ^b	71 ± 6 ^{ab}	75 ± 6 ^{ab}	74 ± 10 ^{ab}	72 ± 8 ^{ab}	63 ± 16						
HF _{RR} , nu	45 ± 16	43 ± 15	34 ± 16 ^a	37 ± 14 ^a	38 ± 17 ^a	36 ± 17 ^a	38 ± 18	43 ± 13	31 ± 14 ^b	29 ± 6 ^{ab}	25 ± 6 ^{ab}	26 ± 10 ^{ab}	28 ± 7 ^{ab}	37 ± 16						
LF/HF _{RR}	1.6 ± 1.0	1.7 ± 1.3 ^a	2.5 ± 1.4 ^a	2.3 ± 1.7 ^a	2.1 ± 1.1 ^a	2.5 ± 1.8 ^a	2.3 ± 1.8	1.5 ± 0.7	2.9 ± 1.8 ^a	2.5 ± 0.7 ^a	3.1 ± 1.1 ^a	3.4 ± 1.8 ^a	2.9 ± 1.3 ^a	2.1 ± 1.4						

Note: Values are mean ± SD over 10-min periods. BL, baseline; +15N, 15 min after normoxic washout; RR, RR intervals; RMSSD, square root of the mean of squared differences between consecutive RR intervals; pNN50, percentage of RR intervals that vary by >50 ms from the previous interval; LF, low-frequency power; HF, high-frequency power; nu, normalized units.

^ap < 0.05 versus BL

^bp < 0.05 versus normoxic session.

magnitude of reduction in the systemic and local tissue oxygenation status has been described during hypoxic exercise loading,^{41,42} their time courses under prolonged hypoxic resting conditions is poorly understood. In the present study, we observed a significant deoxygenation in muscle and cerebral tissues (PFC and MC) after 10 min of resting HE. While the reduction in SpO₂ (~13%) plateaued after 20 min of HE, our findings indicate a time to plateau of 30 to 40 min for PFC and MC and of 10 min for the skeletal muscle.

Since cerebral oxygenation is influenced both by SpO₂ and CBF, the difference between cerebral oxygenation and SpO₂ patterns may be explained by the alteration in CBF. In an experimental setting similar to the present one, Nishimura et al.⁷ observed that blood velocity in the middle cerebral artery (MCAv) was unchanged after 1 h of HE (FiO₂ = 0.15) and did not differ compared to normoxic conditions after 2 h, while EtCO₂ was reduced by 2 to 3 mmHg and MABP was unchanged. However, Huang et al.⁴³ provide evidence supporting that the rise in CBF over the first hours of poikilocapnic hypoxic exposure at high altitude is delayed. Using Doppler ultrasound, they found a small but nonsignificant increase in blood velocities in the internal carotid and vertebral arteries after 2 to 4 h at higher altitude (4300 m). Similarly, Teppema et al.⁸ showed unchanged CBF during 4 h of poikilocapnic hypoxia (PetO₂ = 50 mmHg). By contrast, 12 to 24 h after arrival at high altitudes (3475 to 4559 m), several TCD studies found increases of 20 to 27% in MCAv.^{14,44,45} These ultrasound findings are in accordance with previous results using other techniques. Severinghaus and coauthors,⁴⁶ using the Kety-Schmidt nitrous oxide washout method to analyze changes in CBF, found a 24% increase at 6 to 12 h and a 13% increase at 3 to 5 days after arrival at 3810 m. Hence, the kinetic of CBF changes, particularly in the early hours, in response to prolonged HE may explain, at least in part, the longer time needed to reach stable deoxygenation level within cerebral tissue compared to SpO₂.

It has been suggested that cerebral oxygenation is strongly dependent on arterial CO₂ partial pressure (PaCO₂) due to its effect on CBF.⁴⁷ It could be postulated that cerebral oxygenation patterns cannot plateau until EtCO₂ has reached a given critical or stable value. The time-course of EtCO₂ changes in the present study indicates that early modest hypocapnia does not explain the specific cerebral oxygenation profiles observed in response to HE since similar EtCO₂ values were observed over the first hour of normoxia and hypoxia. Consistent with previous reports,^{5,7} prolonged HE induced significant hypocapnia compared to the normoxic session. However, no relationship between EtCO₂ and tissue oxygenation was found over time, suggesting that EtCO₂ had no specific effect on cerebral oxygenation, even after several hours of HE. The heterogeneity of individual responses to poikilocapnic hypoxia may explain part of the variability in the cerebrovascular tone response, but it is likely that PaCO₂ did not strongly influence cerebral (de)oxygenation in our study.

It is important to emphasize that NIRS measures the balance between oxygen supply and utilization. NIRS-derived deoxygenation, as observed in our study, refers to a mix between the pre- and postcapillaries state, thus including a decrease in arterial O₂ content and also possible mechanisms of exacerbated oxygen extraction to preserve the resting cerebral metabolic rate of oxygen (CMRO₂). There are few field studies of brain oxygen consumption or extraction at altitude because such studies would

require arterial and venous (jugular) measurements. Moller and coauthors⁴⁸ studied CMRO₂ and CBF using the Fick and the Kety-Schmidt techniques in nine acclimatized subjects at rest and during exercise at sea level and at 5260 m. Despite profound changes in breathing, no changes were seen in both CBF and oxidative metabolism. In the same way, the CMRO₂ was unchanged compared with sea-level values in five subjects investigated within the first 24 h after arrival at 3810 m by Severinghaus and coauthors.⁴⁶ These data suggest a critical adjustment of the oxygen extraction fraction in response to hypoxemia, especially in the early hours of HE when the decrease in CaO₂ is not always and/or immediately compensated by an increase in the delivery of O₂ (through an increase in CBF). This remains however speculative, as human brain models specifying the physiologic control of oxygen delivery at capillary level (other than hemoglobin- and flow-dependent properties of oxygen transport) are scarce.⁴⁹

The fact that prolonged HE elicits measurable time delay in changes between SpO₂ and tissue (especially cerebral) oxygenation may be of practical importance when drawing protocols aiming at investigating the effect of hypoxic gas inhalation on tissue responses. A hypoxic wash-in period of 30 min could be recommended in order to reach maximal cerebral tissue deoxygenation levels.

4.2 Tissue-Specific Sensitivity to Sustained HE

It has been suggested that oxygen delivery to tissues is tightly matched by immediate cardiac output changes to meet the peripheral demand in normal subjects at rest.⁵⁰ Some studies^{40,51} reported long-term adaptations in regional cerebral oxygenation following trekking at high altitudes. Our results show time-dependent responses and distinct kinetics (amplitude and rate) of cerebral and muscle deoxygenation when SpO₂ is reduced over hours. Following HE onset, muscle [HHb] increased to significance after a delay of 10 min and remained constant over the first hour, whereas cerebral [HHb] (PFC and MC) increased progressively to reach a plateau only after 30 to 40 min. Interestingly, cerebral and muscle tissues respond differently to the offset of gas exposure during the first 15 min of normoxic washout: [HHb] returned promptly to baseline values in cerebral tissue, but not in the muscle tissue (see Fig. 3).

The literature provides inconsistent results regarding changes in oxygenation status within the skeletal muscle during few minutes of HE, with either no change^{41,52,53} or a rapid impact on tissue oxygenation state.⁵⁴ It is likely that these results are mainly explained by a time-dependent relationship, the level of hypoxia, and a high interindividual variability in muscle responses (especially compared to the brain) to acute hypoxia. Our findings over 1-h HE support a larger sensitivity of the cerebral cortex compared to the muscle, even if qualitative signs of skeletal muscle hypoxemia reached significance already after 10 min. Major differences were observed in cerebral and muscle tissue deoxygenation magnitude throughout HE, with a large increase in [HHb] in PFC and MC compared to the *vastus lateralis* muscle. Previous results have also revealed that the hypoxic cerebrovascular responsiveness has a relatively slow on-response rate.⁵⁵ The differences observed between the brain and the quadriceps might be due to differences in basal metabolism and in the microvascular architecture and responsiveness of both tissues.^{53,56} Hence, muscle capillary recruitment may be a tissue-specific strategy to limit the impact of the decreased arterial saturation.⁵⁷

From ~2- to 4-h HE, changes in muscle $[O_2Hb]$, $[HHb]$, and $[tHb]$ (Fig. 3) may reflect a decrease in local blood flow and/or O_2 quadriceps extraction, raising the possibility that blood flow was shifted to other organs and/or other muscle groups (e.g., those involved in the seated position), independent of gas exposure. This seems a plausible explanation since the decline in $[HHb]$ and $[tHb]$ was similar between normoxic and hypoxic sessions (see Sec. 4.4).

In the examined brain regions, increase in perfusion ($[tHb]$) was slightly different in PFC than MC. A marked increase in cerebral O_2 blood volume in PFC, as indicated by the higher $[tHb]$ values, was detected as early as after 20 min of HE and remained fairly constant between 2 and 4 h of HE. In contrast, the increased $[tHb]$ occurred only at 240 min HE in MC region. This may be partly explained when considering the regions of the brain associated with integrating sensory input; i.e., the PFC can be considered as an active region even in resting conditions as it is an area of the brain responsible for attention and motivation.³³ One possible explanation for this PFC $[tHb]$ elevation at rest might be the effect of being on the seat for such a prolonged time and consequently engaged in (introspective) thoughts. These two patterns were similar in both conditions despite distinct levels of SpO_2 and are therefore independent of mechanisms associated with HE.

4.3 Cardiorespiratory and NIRS Changes and Altitude Sickness Symptoms During Sustained HE

High-altitude headache has been proposed to be mainly caused by the acute reduction in cerebral regional oxygen saturation in unacclimatized trekkers,³⁹ and acetazolamide decreases AMS symptomatology, at least in part, by helping to maintain cerebral oxygenation at altitudes up to 5700 m.⁵⁸ Therefore, it is likely that the level of brain deoxygenation plays a key role in the acclimation process. Cerebral oxygenation has been shown to be influenced by sympathetic activity at rest.⁵⁹ In the present study, stimulation of sympathetic activity as revealed by HRV indices and increased HR (Table 1) during HE was concomitant with a decrease in tissue (cerebral and muscle) oxygenation. The well-known progressive increase in HR with sustained hypoxia occurred during the first 20 min and was followed by a steady state over the 4 h of HE. The observed unchanged MABP during the first hour may then result from a decline in systemic vascular resistance and supports the absence of strong systemic influences on cerebral oxygenation in the early phase (<1 h) of physiological adjustments to hypoxia. Also, blood pressure did not differ between conditions when HE was prolonged, even if MABP increased slightly over time. This is in agreement with previous studies reporting that acute short-lasting hypoxia (<1 day) influences only slightly systemic blood pressure in humans.^{7,60}

Our study and others^{61,62} demonstrated a change in the sympathetic-parasympathetic balance in response to acute HE at rest, with a sympathetic tone increase together with a decrease in parasympathetic tone (i.e., LF_{RR} increase and HF_{RR} decrease over time, respectively; see Table 1). Previous studies on AMS debated the fact that increased sympathetic activity during high-altitude exposure may be involved in acute hypoxic adaptations^{63,64} and that parameters like HRV could permit the prediction of AMS susceptibility.⁶⁴ In accordance with Subudhi et al.,⁵ we found that being 4 h at $FiO_2 = 0.12$ significantly increases altitude illness symptoms (i.e., headache, LLS, AMS-C score). These results support this time frame to be

critical regarding the genesis of hypoxia-induced cerebral perturbations. However, no relationship among HRV indices, AMS symptoms, and NIRS changes was found in the present study. This confirms recent findings suggesting that changes in cardiac autonomic modulations may not be related to AMS development in the early phase of acute normobaric hypoxia.⁶²

4.4 Methodological Considerations

Over the brain, the detected NIR light is affected not only by cortical hemodynamic changes but also by changes in optical properties of all other superficial tissue layers between the optode and the gray matter (e.g., scalp, skull, CSF).^{65,66} However, to minimize the contribution of hemodynamic changes from the skin/scalp layer (e.g., vasomotion/flow motion induced by mechanical skin irritation and/or sympathetic hyperactivation^{67,68}) in the high-probability banana-shaped photon flux paths, we enlarged interoptode distances (up to 3.5 cm for the brain and 4 cm for the muscle) to reach the maximal light path providing a sufficient signal-to-noise ratio of the optical density measurements.¹⁹ Controlled ambient temperature and attention given to ensure head NIRS setup to be noncompressive (i.e., no scalp ischemia/hyperaemia) were also important to reduce the weight of the skin layer (i.e., extracranial blood flow in the skin tissue) in perturbing the observed chromophore concentration changes. We are confident that, taken together, the behavior of the present oxygenation changes most probably reflected the brain tissue oxygenation changes. NIRS penetration depth (i.e., approximately half the interoptode distance) limits its sensitivity to the upper 1 cm of the cerebral cortex,⁶⁹ so that it is important to consider that the measurements obtained are regional and strictly confined to the zone beneath the sensors. We acknowledge that the observed tissue oxygenation cannot be generalized to whole brain or even to cerebral lobes.

In order to investigate the kinetic of cerebral hemodynamic responses to 4-h HE, subjects had to be completely relaxed (without sleeping or speaking) in order to avoid inputs from other sources (e.g., anxiety, voluntary hypo- or hyperventilation, etc.), which would influence the measured response. Thanks to the normoxic control session, we identified the fact that staying awake but totally inactive in a sitting position for a long time might have induced some slight effects on parameters expected to remain constant (e.g., muscle and cerebral tHb on Fig. 2). A gradual decrease in cerebral blood velocity (and $EtCO_2$) has been previously reported to occur both in normoxic and hypoxic conditions in subjects sitting for 5 h.⁷ Accordingly, in our study, concomitant muscle hypoperfusion and cerebral hyperperfusion were likely due to the prolonged position rather than to the nature of the inhaled gas over time.

Finally, we used a normobaric hypoxic protocol, and whether our results would have been heightened or different if using hypobaric hypoxia is still an open question.

5 Conclusion

Oxy- and deoxyhemoglobin changes assessed by NIRS allow understanding of qualitative microcirculation hypoxia-induced adaptive mechanisms. The present study showed distinct (i.e., delayed) behavior of local cerebral hemodynamics compared to arterial systemic desaturation profiles in the first hour of HE. When hypoxia was prolonged over hours, continuous NIRS evaluation also emphasized tissue-specific sensitivity, the cerebral cortex being more sensitive to HE than skeletal

muscle. Reduced cerebral oxygenation may reflect an imbalance between oxygen supply and extraction, indicating a potential risk for cellular dysfunction, although it does not necessarily indicate tissue damage. The transition to irreversible neurologic damage may depend on both the duration and the severity of the hypoxic stimulus,⁷⁰ so that further insights are needed, in which NIRS and biomedical optics have to contribute prominently.

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